1	Early-stage multi-differentiated	gastric carcinosarcoma and	post-resection local recurrence: a

2	case	report
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- 26
- 27 Abstract

28 Background

Carcinosarcoma is a rare neoplasm with a poor prognosis that is most often discovered at an advanced stage; a gastric carcinosarcoma is even rarer than carcinosarcomas originating in other organs, such as the uterus. We report our experience with an early-stage multi-differentiated gastric carcinosarcoma.

32 **Case presentation**

33 A 68-year-old male patient presented with anemia, and his fecal occult blood test was positive. An 34 endoscopic examination was conducted which revealed a hemorrhagic, irregular, protruding lesion in 35 the stomach. The lesion was diagnosed as an adenocarcinoma by histopathological examination of the biopsy specimen, and a segmental gastrectomy was performed. A $41 \times 29 \times 18$ mm³ protruding lesion 36 37 was observed in the resection specimen, and histologically confirmed to be a gastric carcinosarcoma 38 with mixed adenocarcinomatous and sarcomatous composition. Tumor invasion was limited to the 39 submucosa. Besides the adenocarcinomatous portion, neuroendocrine differentiation and AFP-40 positive gastric carcinoma were present in the carcinomatous portion of the tumor; in the sarcomatous

41	portion, chondrosarcomatous, leiomyosarcomatous, and rhabdomyosarcomatous components were
42	observed in addition to the undifferentiated sarcomatous component. Furthermore, the tumor included
43	SALL4-positive germ cell-like cells. Despite early-stage detection, the cancer recurred locally 14
44	months after tumor resection, which necessitated a total gastrectomy. At the 2-month follow-up after
45	the total gastrectomy, the patient was alive. This patient had developed an esophageal squamous cell
46	carcinoma and primary lung adenosquamous carcinoma, both of which were resected.
47	Conclusions
48	Few cases of early-stage gastric carcinosarcoma have been reported, but there are no reports of
49	recurrence to date. Local recurrence as in this patient, and even in early-stage cases, requires cautious
50	surveillance to check for post-resection recurrence and metastasis. The etiopathogenesis of
51	carcinosarcoma has not yet been elucidated; however, in the present case, despite the tumor's
52	relatively small size, it exhibited various types of differentiation in both the carcinomatous and
53	sarcomatous components and a proliferative germ cell-like portion, which suggests that the
54	monoclonal origin hypothesis may be a valid theory for the carcinosarcoma.
55	Keywords: gastric carcinosarcoma; AFP-positive; adenocarcinomatous; case report; metastasis;
56	recurrence; gastric carcinoma
57	Introduction
58	A carcinosarcoma is a malignant tumor with an admixture of carcinomatous and sarcomatous
59	components, and it is a rare neoplasm regardless of the organ of origin. Typical sites of
60	carcinosarcoma include the uterus, ovaries, breasts, esophagus, thyroid, lungs, larynx, and urinary

61	tract [1,2]. However, only a few cases of primary gastric carcinosarcoma have been reported; to date,
62	less than 100 cases have been reported since Queckenstadt's report in 1904 [3,4,5]. Kuroda et al.
63	reported a mean patient age of 62.5 years and male predominance (male: female ratio of 5:2.2) with
64	regard to gastric carcinosarcoma. The macroscopic tumor morphology is predominantly the
65	protruding type, comprising large masses with a mean tumor diameter of 8.6 cm. Most cases of
66	carcinosarcoma are discovered at an advanced stage, and many cases present with lymph node and
67	distant metastasis [5]. Surgical resection is the commonest treatment for carcinosarcoma [1,5];
68	however, the post-resection prognosis is poor, with a mean survival time of 7 to 10 months [5].
69	Histologically, the carcinomatous component is an adenocarcinoma in most patients, although a few
70	reports exist on cases that include adenosquamous carcinoma [6] or adenocarcinoma with
71	neuroendocrine differentiation [7]. The sarcomatous component is frequently an undifferentiated
72	sarcoma, despite known cases with rhabdomyosarcomatous, leiomyosarcomatous,
73	chondrosarcomatous, osteosarcomatous, and fibrosarcomatous differentiation; nonetheless, cases that
74	include multi-type gastric ectopic components are relatively rare.
75	In this report, we present our clinical experience with gastric carcinosarcoma, wherein both
76	the carcinomatous and the sarcomatous components showed various types of differentiation and
77	recurred locally despite early-stage detection and excision when tumor invasion was limited to the
78	submucosa.
79	Case presentation

80 A 68-year-old man with a history of hypertension, diabetes, and chronic kidney failure was

81	undergoing treatment as an outpatient for angina pectoris and atrial fibrillation that was diagnosed 2
82	years prior to the carcinosarcoma. The patient has a history of heavy smoking for approximately 40
83	years. Family history of cancer includes his father's history of lung cancer. The patient did not have
84	any clinical symptoms of cancer, and no remarkable changes were noted on physical examination.
85	However, a routine blood test done 3 months earlier indicated anemia (hemoglobin: 9.6 g/dL), and the
86	patient's stool sample tested positive for occult blood. We tested for tumor markers and found the
87	squamous cell carcinoma (SCC) antigen was slightly elevated at 3.3 ng/mL, but the carcinoembryonic
88	antigen (CEA) and CA 19-9 were within the reference range. An upper GI endoscopy showed a
89	bleeding, irregular, protruding lesion located on the posterior wall of the lesser curvature within the
90	body of the stomach (Fig. 1a); the lesion was biopsied and identified as a poorly differentiated
91	adenocarcinoma. Moreover, at the lower part of the esophagus entirely separate from the gastric tumor,
92	there was a slightly concave lesion; biopsy specimens from the esophageal lesion indicated SCC. The
93	patient underwent surgical tumor excision. Initially, an en bloc resection of the esophageal cancer was
94	undertaken by endoscopic submucosal dissection and was pathologically diagnosed as a well-
95	differentiated SCC with a slight infiltration of the mucosal lamina propria, with negative margins. At a
96	later date, a segmental gastrectomy for the gastric tumor was performed. The proximal gastric surgical
97	margin was confirmed to be negative by rapid assessment. The resected gastric tumor was subjected
98	to histopathological examination. Macroscopically, the gastrectomy specimen had a protruding lesion
99	measuring $41 \times 29 \times 18$ mm ³ (Fig. 1b). The cross-section showed a grayish-white tumor with growth
100	mainly on the mucous membranous surface as well as areas with a cystic appearance and a translucent

101	cartilage-like matrix in parts (Fig. 1c). Histologically, the tumor was a carcinosarcoma with mixed
102	adenocarcinomatous and sarcomatous components (Fig. 1d,e). Tumor invasion was limited to the
103	submucosa. The adenocarcinomatous component exhibited tubular, papillary, and, in some parts, solid
104	growth patterns. The adenocarcinoma cells were acidophilic and cylindrical; however, some regions
105	comprised adenocarcinoma cells with clear cytoplasm. The adenocarcinomatous component resulted
106	in diffuse lymphatic and venous invasion. The sarcomatous portion showed proliferation of atypical
107	spindle cells and atypical round cells with a high nucleus-to-cytoplasm (N/C) ratio (Fig. 1f). In the
108	sarcomatous portion, some parts showed chondrogenesis, and dyskaryosis was observed in
109	chondrocyte-like cells (Fig. 1g). Immunohistochemically, the adenocarcinomatous component with
110	clear cytoplasm comprised areas with alpha-fetoprotein (AFP)- and Sal-like protein 4 (SALL4)-
111	positive AFP-producing gastric carcinoma (Fig. 2a, b, c). Moreover, there were synaptophysin- and
112	chromogranin A-positive adenocarcinomatous regions that showed neuroendocrine differentiation
113	(Fig. 2d, e, f). The sarcomatous portion was predominantly composed of undifferentiated areas as
114	indicated by unstained regions, but included smooth muscle actin-positive leiomyosarcomatous areas
115	composed of spindle cells with acidophilic cytoplasm (Fig. 2g, h) as well as areas of desmin- and
116	MyoD1-positive atypical round cells with rhabdomyosarcomatous differentiation (Fig. 2i, j, k).
117	Furthermore, a proliferative focus with atypical "bare nucleus" cells that was partly composed of
118	SALL4-positive germ cell-like cells did not indicate any specific differentiation in immunostaining
119	(Fig. 2l, m). the histological findings of the background stomach was chronic gastritis with intestinal
120	metaplasia. Negative for Helicobacter pylori. There was no dysplasia around the gastric tumor.

121	Approximately 1 month following the post-gastrectomy, a chest CT showed a ground glass
122	opacity in the inferior lobe of the patient's right lung; after 6 months, the lung lesion grew, and
123	therefore the patient underwent a right lower lobectomy. Histopathology of the resected tumor
124	indicated a lepidic growth pattern rather than a gastric tumor metastasis. Further examination revealed
125	that the tumor was a primary lung adenosquamous carcinoma with a well-differentiated lung
126	adenocarcinoma component, positive for thyroid transcription factor 1 (TTF-1) on
127	immunohistochemical staining, that was admixed with an SCC component (Fig. 3a-d). Fourteen
128	months after the segmental gastrectomy, a flat, protruding lesion appeared in the anastomotic region
129	of the patient's remaining stomach portion. This lesion was identified as a local recurrence, and a total
130	gastrectomy was carried out (Fig. 4a, b). Examination of the resected specimen from the local
131	recurrence showed the growth of only the adenocarcinomatous component, without a sarcomatous
132	component (Fig. 4c). The recurrent tumor extended into the subserosa, and we observed 1 very small
133	site of lymph node metastasis (Fig. 4d). The patient started adjuvant chemotherapy by TS-1, and at the
134	time of this report, the patient had survived for 3 months after the total gastrectomy.
135	Patients had three independent cancers, but were not tested to determine if the patients had an
136	inherited cancer syndrome.
137	Discussion
138	In the present case, the gastric carcinosarcoma was detected at an early stage, and both the
139	carcinomatous and the sarcomatous components exhibited various types of differentiation. The
140	carcinomatous component included an AFP-producing gastric carcinoma and areas that showed

141 neuroendocrine differentiation, whereas the sarcomatous component included chondrosarcomatous,

142 leiomyosarcomatous, and rhabdomyosarcomatous regions.

143	In most cases, however, gastric carcinosarcomas are discovered at advanced stages. There
144	are reports of early-stage cases of gastric carcinosarcomas, but a report by Kuroda et al. showed that
145	only 4 cases with tumor invasion limited to the submucosa were reported until 2017 [5]. To our
146	knowledge, the present case is the 5th to be reported for this particular tumor stage. Previously, 1 of
147	the patients with a gastric carcinosarcoma died of liver cirrhosis and hepatic encephalopathy [8];
148	however, there have been no reports of later local tumor recurrence despite the invasion being limited
149	to the submucosa. Gastric carcinosarcoma is a neoplasm with a high rate of mortality and local
150	recurrence. As this case illustrates, even in cases of early-stage gastric carcinosarcoma, it is necessary
151	to watch for later recurrence and metastasis after surgical treatment.
152	In the present case, both the carcinomatous and sarcomatous components showed multi-type
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153 154 155 156	differentiation as described earlier. In general, for the histological findings of gastric carcinosarcoma, the carcinomatous and sarcomatous components are predominantly adenocarcinomatous and undifferentiated sarcoma, respectively. Of the 76 cases of gastric carcinosarcoma found in a literature review by Nie et al., 4 were adenosquamous carcinomas, and 7 were neuroendocrine carcinomas [3].
153 154 155 156 157	differentiation as described earlier. In general, for the histological findings of gastric carcinosarcoma, the carcinomatous and sarcomatous components are predominantly adenocarcinomatous and undifferentiated sarcoma, respectively. Of the 76 cases of gastric carcinosarcoma found in a literature review by Nie et al., 4 were adenosquamous carcinomas, and 7 were neuroendocrine carcinomas [3]. Reports of cases that include an AFP-producing gastric carcinoma component are limited to 2 cases

regions than in those reported in the literature. Immunohistochemistry was extremely useful inidentifying these differentiations.

163	The etiopathogenetic mechanism of gastric carcinosarcoma remains unclear, but two
164	hypotheses have been proposed thus far [5,11]. The first is the bi-clonal origin hypothesis, which
165	holds that the carcinosarcoma originates from 2 different tumor cell clones, which collide. The second
166	is the monoclonal origin hypothesis – a view that a single-source stem cell differentiates into both a
167	carcinoma and a sarcoma. The tumor in our patient contained diversely differentiated portions despite
168	its early stage, tumor invasion limited to the submucosa, and the below-average size. Furthermore,
169	with regard to germ cell multipotency, the germ cell-like cells positive for SALL4 – an
170	immunohistochemical marker for germ cell tumors [12] – constituted a part of the tumor. In the
171	present case, these findings support the monoclonal origin hypothesis wherein a single clone
172	differentiates into a variety of morphologies, rather than the possibility of multiple tumor components
173	colliding to form the lesion.
174	This patient had multiple primary cancers, esophageal SCC and primary lung
175	adenosquamous carcinoma developed independently; the latter carcinoma is a rare tumor in itself. The
176	appearance of a combination of rare tumors is another peculiarity of this patient, and the possibility of
177	a genetic abnormality predisposing to cancer cannot be excluded.
178	Conclusions
179	This case demonstrated early-stage, multi-differentiated gastric carcinosarcoma. Early-stage lesions

180 may recur sometimes, as in the present case, and due care is necessary to detect recurrence and

- 181 metastasis after gastric carcinosarcoma resection. The various types of differentiation of tumoral
- tissue and a germ-cell-like cell population in the present case potentially validate the monoclonal
- 183 origin hypothesis in carcinosarcoma.
- 184 Abbreviations:
- 185 **AFP:** alpha-fetoprotein
- 186 **SALL4:** Sal-like protein 4
- 187 SCC: squamous cell carcinoma
- 188 **CEA:** carcinoembryonic antigen
- 189 **N/C:** nucleus to cytoplasm
- 190 **TTF-1:** thyroid transcription factor 1
- 191 DECLARATIONS
- 192 Ethics approval and consent to participate
- 193 Not applicable.
- 194 **Consent for publication**
- 195 Written informed consent was obtained from the patient for the publication of their anonymized
- 196 information in this article.
- 197 Availability of data and materials
- 198 The dataset supporting the findings and conclusions of this case report is included within this article.

199 **Competing interests**

200 The authors declare that they have no competing interests.

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204	Authors	s' contributions
205	AS, NK	, KM, MK, and SY reviewed the histology. NN, KK, TK, NM, and HU reviewed the clinical
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244 **Figure legends**

245 Fig. 1 Endoscopic images showing the gastric mucosa at various levels of magnification: a: Bleeding 246 associated with an irregular, protruding lesion observed on the rear wall of the lesser curvature in the 247 body of the stomach. **b:** Segmental gastrectomy specimen. A $41 \times 29 \times 18$ mm³ protruding lesion is 248 observed. c: The cross-section shows a grayish-white tumor with growth mainly on the mucous 249 membranous side, partly composed of cystoid portions and a translucent cartilage-like matrix 250 (indicated by the red arrow). **d**: Low magnification ($\times 0.4$) imaging indicating carcinosarcoma 251 invasion limited to the submucosa. Cartilaginous tissue is visible indicated by the red arrow. e: 252 Magnified imaging (×20 magnification) indicating an adenocarcinomatous component with tubular 253 and papillary growth mixed with a sarcomatous component (scale bar 200 µm). f: Higher 254 magnification (×200) imaging, indicating an acidophilic and cylindrical adenocarcinomatous 255 component as well as a sarcomatous component with atypical spindle cell and atypical round cell 256 proliferation with a high N/C ratio (scale bar 50 µm). g: Magnified imaging (×100) of a region 257showing chondrogenesis. Dyskaryosis is visible in chondrocyte-like cells (scale bar 100 µm). 258Fig. 2 Immunohistochemistry (IHC) of the gastric carcinosarcoma showing H&E, AFP and SALL4 259 immunostaining. a: Tubular and solid growth patterns of adenocarcinoma with clear cytoplasm are 260 visible; **b**: The same area is positive for AFP by IHC; **c**: Positivity for SALL4 staining is also shown;

261	d: indicates H&E staining showing a moderately differentiated adenocarcinomatous region with
262	acidophilic cytoplasm; e: this area indicates positivity of synaptophysin by IHC; f: is also positive for
263	chromogranin A; g: is an H&E staining indicating proliferation of spindle cells with acidophilic
264	cytoplasm; h: this area is positive for SMA by IHC; i: shows an H&E stained section indicating
265	proliferation of atypical round cells; j: indicates positivity of desmin; and k: MyoD1 by IHC; l: show
266	a proliferative area of germ cell-like cells and proliferation of atypical "bare nuclei" cells is visible;
267	m: Immunohistochemically, the tumor is positive for SALL4. (scale bars in a – k : 50 μm and i, m: 20
268	μm).
269	Fig. 3 Tumor is observed in the right lower lung lobectomy specimen. a: Low magnification (×0.5)
270	imaging. A tumor with a central solid area is observed in the lung parenchyma; b : (\times 100
271	magnification). The upper left is the adenocarcinoma component, and the lower right is the squamous
272	carcinoma component seen in the solid portion (scale bar 100 µm); c: The adenocarcinoma shows a
273	lepidic growth pattern (scale bar 50 μ m). TTF-1 immunostaining is positive (inset); d: (×400
274	magnification) showing the squamous cell carcinoma component. Proliferation of atypical cells with
275	acidophilic cytoplasm and intercellular bridges are observed (scale bar 20 μ m).
276	Fig. 4 Recurrent gastric tumor. a, b: Total gastrectomy specimen and enlarged photo of tumor. A flat,
277	protruding lesion is present in the anastomotic region of the remaining portion of the stomach; c:
278	Histology of the recurrent tumor. Only growth of the adenocarcinomatous component is seen, without
279	any sarcomatous components (scale bar 100 μ m); d: An extremely small lymph node metastasis was
280	seen in 1 location of the total gastrectomy specimen (indicated by arrow; scale bar 500 μ m).









